

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U74900DL2011PLC225445

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAGCP2093M

(ii) (a) Name of the company

PRUDENT ARC LIMITED

(b) Registered office address

611, 6th Floor, D Mall, Plot No. A-1, ,
Netaji Subhash Palace, Pitampura,
New Delhi
West Delhi
Delhi
110024

(c) * e-mail ID of the company

prudent.arc@gmail.com

(d) * Telephone number with STD code

01145320000

(e) Website

www.prudentarc.com

(iii) Date of Incorporation

9/23/11

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) * Whether shares listed on recognized Stock Exchange(s)

☐ Yes

☒ No

(b) CIN of the Registrar and Transfer Agent

L67120MH1993PLC074411

Pre-fill

Name of the Registrar and Transfer Agent

3I INFOTECH LIMITED

Registered office address of the Registrar and Transfer Agents

TOWER# 5, INTERNATIONAL INFOTECH PARK,
VASHI STATION, COMPLEX,

(vii) *Financial year From date 01/04/2017 (DD/MM/YYYY) To date 31/03/2018 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM 09/07/2018

(b) Due date of AGM 30/09/2018

(c) Whether any extension for AGM granted ☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	17500000	13200000	13200000	13200000
Total amount of equity shares (in Rupees)	175000000	132000000	132000000	132000000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY				
Number of equity shares	17500000	13200000	13200000	13200000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	175000000	132000000	132000000	132000000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	17500000	8695000	8695000	8695000
Total amount of preference shares (in rupees)	175000000	86950000	86950000	86950000

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
0.10% COMPULSORY CONVERTIBLE NON CUMULATIVE				
Number of preference shares	17500000	8695000	8695000	8695000
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	175000000	86950000	86950000	86950000

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	4400000	44000000	44000000	
Increase during the year	8800000	88000000	88000000	22000000
i. Public Issues	0	0	0	0
ii. Rights issue	8800000	88000000	88000000	22000000
iii. Bonus issue	0	0	0	
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0

vi. Sweat equity shares allotted	0	0	0	
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
At the end of the year	13200000	132000000	132000000	
Preference shares				
At the beginning of the year	2970000	29700000	29700000	
Increase during the year	5725000	57250000	57250000	515250000
i. Issues of shares	5725000	57250000	57250000	515250000
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <div></div>				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <div></div>				
At the end of the year	8695000	86950000	86950000	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☐ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☐ No ☒ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		03/08/2017	
Date of registration of transfer (Date Month Year)		29/03/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	80000	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	05		
Transferor's Name	SUREKA	KUMAR	AKHIL
	Surname	middle name	first name
Ledger Folio of Transferee	11		
Transferee's Name	GOEL		PHOOLWATI
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)				29/03/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred	399600	Amount per Share/ Debenture/Unit (in Rs.)	10		
Ledger Folio of Transferor		05			
Transferor's Name	SUREKA	KUMAR	SUREKA		
	Surname	middle name	first name		
Ledger Folio of Transferee		04			
Transferee's Name	GOEL		PRADEEP		
	Surname	middle name	first name		

Date of registration of transfer (Date Month Year)				29/03/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred	448800	Amount per Share/ Debenture/Unit (in Rs.)	10		
Ledger Folio of Transferor		08			
Transferor's Name			MAURIA UDYOG LTD.		
	Surname	middle name	first name		
Ledger Folio of Transferee		18			
Transferee's Name			RKG STEEL PRIVATE LIMITED		
	Surname	middle name	first name		

Date of registration of transfer (Date Month Year)				29/03/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred	479600	Amount per Share/ Debenture/Unit (in Rs.)	10		
Ledger Folio of Transferor		13			
Transferor's Name			JOTINDRA STEEL AND TUBES L		
	Surname		middle name	first name	
Ledger Folio of Transferee		19			
Transferee's Name			GOEL SPONGE PRIVATE LIMITE		
	Surname		middle name	first name	

Date of registration of transfer (Date Month Year)				31/03/2018	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock			
Number of Shares/ Debentures/ Units Transferred	150000	Amount per Share/ Debenture/Unit (in Rs.)	10		
Ledger Folio of Transferor		07			
Transferor's Name	GOEL		ANEESH		
	Surname		middle name	first name	
Ledger Folio of Transferee		04			
Transferee's Name	GOEL		PRADEEP		
	Surname		middle name	first name	

Date of registration of transfer (Date Month Year)				31/03/2018	
Type of transfer		Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock		
Number of Shares/ Debentures/ Units Transferred		200000	Amount per Share/ Debenture/Unit (in Rs.)		10
Ledger Folio of Transferor		06			
Transferor's Name	GOEL		NIRMAL		
	Surname		middle name		first name
Ledger Folio of Transferee		04			
Transferee's Name	GOEL		PRADEEP		
	Surname		middle name		first name

Date of registration of transfer (Date Month Year)					
Type of transfer			1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock		
Number of Shares/ Debentures/ Units Transferred			Amount per Share/ Debenture/Unit (in Rs.)		
Ledger Folio of Transferor					
Transferor's Name					
	Surname		middle name		first name
Ledger Folio of Transferee					
Transferee's Name					
	Surname		middle name		first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			8138785
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			8138785

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

37509550

(ii) Net worth of the Company

1034784805

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	6672800	50.55	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	6527200	49.45	0	0
10.	Others	0	0	0	0
	Total	13200000	100	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0

2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	8695000	100
10.	Others	0	0	0	0
	Total	0	0	8695000	100

Total number of shareholders (other than promoters)

4

**Total number of shareholders (Promoters+Public/
Other than promoters)**

11

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	10	7
Members (other than promoters)	3	4
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	1	1	1	0	43.26	0
B. Non-Promoter	0	4	0	4	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	4	0	4	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	1	4	43.26	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RAKESH GUPTA	00096939	Director	0	
PRADEEP GOEL	00326879	Managing Director	5710800	
SHANKAR AGGARWAL	02116442	Director	0	
ALOK KUMAR	05355782	Director	0	
YUDHVIR SINGH JAIN	06507365	Director	0	
CHAKRAVARTY RANG	AAHPR2707C	CEO	0	
KAPIL SONI	CSIPS9920B	Company Secretary	0	
PAWAN KUMAR GAND	ACSPG1682J	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

7

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
KUNNAVAKKAM ELAY	00926441	Director	24/11/2017	CESSATION
AKHIL KUMAR SUREK	00060206	Director	29/03/2018	CESSATION
NITIN GAMBHIR	05355780	Director	09/03/2018	CESSATION
RAKESH GUPTA	00096939	Additional director	16/09/2017	APPOINTMENT
SHANKAR AGGARWAI	02116442	Additional director	21/12/2017	APPOINTMENT
RAKESH GUPTA	00096939	Director	25/01/2018	CHANGE IN DESIGNATION
SHANKAR AGGARWAI	02116442	Director	25/01/2018	CHANGE IN DESIGNATION

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

2

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	03/08/2017	13	13	100
EXTRA ORDINARY GENE	25/01/2018	13	13	100

B. BOARD MEETINGS

*Number of meetings held

18

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/04/2017	6	4	66.67
2	16/05/2017	6	3	50
3	16/06/2017	6	3	50
4	28/06/2017	6	3	50
5	06/07/2017	6	4	66.67

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
6	27/07/2017	6	3	50
7	03/08/2017	6	4	66.67
8	16/09/2017	6	3	50
9	26/09/2016	7	4	57.14
10	10/10/2017	7	4	57.14
11	25/10/2017	7	4	57.14
12	12/12/2017	6	4	66.67

C. COMMITTEE MEETINGS

Number of meetings held

4

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	23/01/2018	3	3	100
2	Nomination and Remuneration	23/01/2018	3	3	100
3	Audit Committee	29/03/2018	3	3	100
4	Nomination and Remuneration	29/03/2018	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
								7/9/18 (Y/N/NA)
1	RAKESH GUF	9	8	88.89	0	0	0	Yes
2	PRADEEP GC	18	18	100	2	2	100	Yes
3	SHANKAR AG	5	3	60	4	4	100	Yes
4	ALOK KUMAF	18	18	100	0	0	0	Yes

5	YUDHVIR SIN	18	6	33.33	4	4	100	Yes
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X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PRADEEP GOEL	MANAGING DIF	2561290	0	0	0	0
	Total		2561290	0	0	0	2561290

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	CHAKRAVORTHY	CEO	1200000	0	0	0	0
2	PAWAN KUMAR G.	CFO	3871	0	0	0	0
3	KAPIL SONI	COMPANY SEC	360000	0	0	0	0
	Total		1563871	0	0	0	1563871

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status
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(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☒ Yes ☐ No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow ☒ Associate ☐ Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

PRADEEP GOEL
Digitally signed by
 PRADEEP GOEL
 Date: 2018.09.04
 18:33:24 +05'30'

DIN of the director

To be digitally signed by

NEHA
ARORA
Digitally signed by
NEHA ARORA
Date: 2018.09.05
11:24:55 +05'30'

- ☐ Company Secretary
- ☒ Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

LIST OF SHAREHOLDERS.pdf
MGT-8_2017-18_PRUDENT ARC.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company